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CONFIRMATION NO. 6173

SERIAL NUMBER 09/716,189	FILING OR 371(c) DATE 11/17/2000 RULE	CLASS 705	GROUP ART UNIT 3696	ATTORNEY DOCKET NO. DT-3788
APPLICANTS James MacPherson, COS COB, CT;				
** CONTINUING DATA ***** This appln claims benefit of 60/166,558 11/19/1999				
** FOREIGN APPLICATIONS *****				
IF REQUIRED, FOREIGN FILING LICENSE GRANTED** SMALL ENTITY ** ** 02/06/2001				
Foreign Priority claimed <input type="checkbox"/> yes <input type="checkbox"/> no 35 USC 119 (a-d) conditions <input type="checkbox"/> yes <input type="checkbox"/> no <input type="checkbox"/> Met after met Allowance Verified and Acknowledged Examiner's Signature Initials		STATE OR COUNTRY CT	SHEETS DRAWING 13	TOTAL CLAIMS 45 INDEPENDENT CLAIMS 3
ADDRESS 30377				
TITLE SYSTEM AND METHODS FOR PROCESSING OPEN-END MUTUAL FUND PURCHASE AND REDEMPTION ORDERS AT CENTRALIZED SECURITIES EXCHANGES				
FILING FEE RECEIVED 1466	FEES: Authority has been given in Paper No. _____ to charge/credit DEPOSIT ACCOUNT No. _____ for following:		<input type="checkbox"/> All Fees <input type="checkbox"/> 1.16 Fees (Filing) <input type="checkbox"/> 1.17 Fees (Processing Ext. of time) <input type="checkbox"/> 1.18 Fees (Issue) <input type="checkbox"/> Other _____ <input type="checkbox"/> Credit	